

# Marginal Bayesian Nonparametric Model for Time to Disease Arrival of Threatened Amphibian Populations

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**SUMMARY.** The global emergence of *Batrachochytrium dendrobatidis* (Bd) has caused the extinction of hundreds of amphibian species worldwide. It has become increasingly important to be able to precisely predict time to Bd arrival in a population. The data analyzed herein present a unique challenge in terms of modeling because there is a strong spatial component to Bd arrival time and the traditional proportional hazards assumption is grossly violated. To address these concerns, we develop a novel marginal Bayesian nonparametric survival model for spatially correlated right-censored data. This class of models assumes that the logarithm of survival times marginally follow a mixture of normal densities with a linear-dependent Dirichlet process prior as the random mixing measure, and their joint distribution is induced by a Gaussian copula model with a spatial correlation structure. To invert high-dimensional spatial correlation matrices, we adopt a full-scale approximation that can capture both large- and small-scale spatial dependence. An efficient Markov chain Monte Carlo algorithm with delayed rejection is proposed for posterior computation, and an R package `spBayesSurv` is provided to fit the model. This approach is first evaluated through simulations, then applied to threatened frog populations in Sequoia-Kings Canyon National Park.

**KEY WORDS:** Bayesian nonparametric; Copula; Delayed rejection; Dependent Dirichlet process; Full-scale approximation; Point-referenced; Proportional hazards; Spatial survival data.

## 1. Introduction

The Earth is currently experiencing the most severe mass extinction of species, since the dinosaurs died off 65 million years ago. Scientists estimate that we are currently losing on the order of up to 50,000 species per year, 1,000 to 10,000 times greater than the fossil record (Chivian and Bernstein, 2008). The current mass extinction is almost entirely due to humankind in the form of destruction of natural habitats, but with disease being increasingly recognized as another important driver. The global emergence of *Batrachochytrium dendrobatidis* (Bd), a fungus that can kill frogs within a few weeks, has caused the extinction of hundreds of amphibian species worldwide (Wake and Vredenburg, 2008), including the recent rapid local extinction of many mountain yellow-legged frog populations in the Sierra Nevada mountains of California (Rachowicz et al., 2006; Vredenburg et al., 2010). These impacts of Bd have been described as “...the most spectacular loss of vertebrate biodiversity due to disease in recorded history...” (Skerratt et al., 2007). Once the most common amphibian in the region, mountain yellow-legged frogs now inhabit less than one-tenth of their range of 100 years ago and continue to disappear at an alarming rate.

The mountain yellow-legged frog is a species complex of the southern mountain yellow-legged frog *Rana muscosa* and the Sierra Nevada yellow-legged frog *Rana sierrae* (Vredenburg et al., 2007). In part due to Bd-caused declines, these species were recently listed as “endangered” under the U.S. Endan-

gered Species Act (Federal Register 2014). Bd often spreads in wavelike patterns (Cheng et al., 2011; Lips et al., 2008). One of the authors (Knapp) collected data over a 12-year period by hiking large areas of Sequoia and Kings Canyon National Parks, one of the few areas in the Sierra Nevada that still contained some Bd-negative frog populations at the beginning of this study (Vredenburg et al., 2010). Bd-negative frog populations were discovered during the first park-wide survey of all suitable habitats (Knapp et al., 2003), and were revisited, typically every 1–2 years, over the study period to determine their Bd status over time.

It has become increasingly important to be able to predict when Bd is likely to arrive in a frog population, considering that interventions may be useful to prevent the extinction of frog populations following the Bd arrival (Vredenburg et al., 2010). We model the Bd arrival time of frog populations from discovery given two baseline measures of Bd proximity: (i) “bdwater,” indicating whether Bd was present during the previous year (= 1) or not (= 0) in the watershed containing the frog population of interest, and (ii) “bddist,” indicating the linear distance to the nearest Bd-positive location during the previous year. Once Bd arrived at a site, this site was assumed to always be Bd-positive in subsequent years (Vredenburg et al., 2010). The location was recorded as Universal Transverse Mercator ( $x, y$ )—coordinates in meters for each frog population. These data provide a unique challenge in terms of modeling: there is a strong spatial component

to Bd arrival times across populations, and the proportional hazards assumption is rather severely violated.

Traditionally, most survival models incorporating spatial information have been semiparametric, conditional (so-called frailty) models; these include conditionally proportional hazards models (Banerjee et al., 2003; Hennerfeind et al., 2006), proportional odds models (Banerjee and Dey, 2005; Zhao et al., 2009), and accelerated failure time models (Zhao et al., 2009; Wang et al., 2012). Any of these models is preferable if they actually fit the data, as such semiparametric structure allows for easy conditional interpretation in terms of hazard ratios, odds ratios, or acceleration factors, respectively. However, these models impose constraints on survival densities. For example, both proportional hazards and accelerated failure time models induce stochastically ordered survival times for populations with different covariates or frailties. For this reason, if the semiparametric structure is not appropriate, the addition of frailties may not improve model fit, or can even make it worse by adding noise. Instead of incorporating a spatial frailty term, Li and Lin (2006) proposed a marginal survival model, which they termed a semiparametric normal transformation model, where survival times are assumed to marginally follow the proportional hazards (PH) model and their joint distribution is specified by a Gaussian copula model with a spatial correlation structure. One advantage of their model over frailty models is that the regression coefficients have population-level interpretations. However, their model imposes constraints so that survival curves from different covariate levels are not allowed to cross, which is unrealistic in many practical applications (see De Iorio et al., 2009), including the mountain yellow-legged frog illustration used in the current study (e.g., see Kaplan–Meier estimates in Web Appendix F). For these data, a more flexible survival model is needed to quantify the risk factors associated with the Bd arrival time of frog populations while taking spatial correlation into account.

Flexible Bayesian nonparametric modeling techniques have been successfully developed for handling complex survival data that traditional semiparametric survival models fail to fit. One appealing feature about nonparametric approaches for estimating survival densities is their ability to avoid unrealistic constraints on how the variance, skewness, shape, and even modality change with covariates. The linear-dependent Dirichlet process mixture model (De Iorio et al., 2009; Jara et al., 2010, 2011), essentially a countable mixture of accelerated failure time models, provides a flexible way to capture crossing hazard and survival curves. However, it is unclear how to extend these Bayesian nonparametric models to a geo-statistical setting for the analysis of spatially correlated survival data, and there has been virtually no related literature so far.

In this article, we develop a marginal Bayesian nonparametric spatial survival model for the analysis of the Bd arrival time of yellow-legged frog populations given the baseline covariates  $\mathbf{x}_i$  and  $\mathbf{s}_i$ . This model assumes that the logarithm of survival times marginally follow a linear-dependent Dirichlet process mixture (LDDPM) model, and specifies their joint distribution via a Gaussian copula model. Two major features of the proposal are that the resulting

survival curves are allowed to cross, or not, as dictated by the data, and that the inclusion of spatial information improves the prediction of Bd arrival time dramatically. To invert the high-dimensional spatial correlation matrices, we adopt a full-scale approximation that can capture both large- and small-scale spatial dependence (Sang and Huang, 2012). To compare our proposal with the semiparametric normal transformation model proposed by Li and Lin (2006), we also present a Bayesian version of their model, denoted as a marginal PH spatial model. We develop efficient Markov chain Monte Carlo (MCMC) algorithms for both our model and the marginal PH spatial model. The analysis of the mountain yellow-legged frog data shows that our proposed spatial LDDPM model provides improvement over most traditional models, including the non-spatial LDDPM, PH, PH augmented with spatial frailties, and marginal PH spatial. For ease of implementation, we also developed an R package `spBayesSurv`, which is available for downloading at <http://cran.r-project.org/web/packages/spBayesSurv>, that can fit our proposed spatial LDDPM, the non-spatial LDDPM, PH, and the marginal PH spatial models.

The rest of this article is organized as follows. Section 2 describes the marginal LDDPM spatial survival model. Section 3 provides a recipe for efficient MCMC inference, discusses prediction, and proposes a cross-validated predictive model comparison criterion. Section 4 discusses simulation results, shows how ignoring spatial correlation grossly can bias inferences, and validates that our model selection criterion works well. Section 5 presents the analysis of the time to Bd arrival data, and shows how posterior inference can be interpreted and put to practical use. The article concludes with concluding remarks in Section 6.

## 2. Marginal LDDPM Spatial Survival Model

### 2.1. Model Specification

Suppose right-censored spatial survival data  $\{(t_i^o, \delta_i, \mathbf{x}_i, \mathbf{s}_i) : i = 1, \dots, n\}$  are collected in a spatial region of interest  $\mathcal{D}$ , where  $t_i^o$  is a recorded event time,  $\delta_i$  is a censoring indicator equaling 1 if  $t_i^o$  is the observed event time and equaling 0 if the event time is right-censored at  $t_i^o$ ,  $\mathbf{x}_i$  is a  $p$ -dimensional vector of covariates including the intercept, and  $\mathbf{s}_i$  records the spatial location. We denote by  $t_i$  the latent true (unobserved if  $\delta_i = 0$ ) event time corresponding to  $t_i^o$ , and then the relationship between  $\delta_i$  and  $t_i$  can be described by  $\delta_i = I(t_i = t_i^o)$ , where  $\delta_i = 0$  implies  $t_i > t_i^o$ . Since event times are all positive, it remains appealing to work on the logarithms of event times, i.e.,  $y_i = \log(t_i)$ ,  $y_i^o = \log(t_i^o)$ . In addition, we assume an independent censoring scheme; that is, the event and censoring times are independent given the observed covariates.

We assume that  $y_i | \mathbf{x}_i$  marginally follows a linear-dependent Dirichlet process mixture model of De Iorio et al. (2009),

$$F_{\mathbf{x}_i}(y|G) = \int \Phi\left(\frac{y - \mathbf{x}_i' \boldsymbol{\beta}}{\sigma}\right) dG(\boldsymbol{\beta}, \sigma^2), \quad (1)$$

where  $\Phi(\cdot)$  is the cumulative distribution function (cdf) of the standard normal, and  $G$  follows the Dirichlet Process (DP)

prior (Ferguson, 1973) with concentration parameter  $\alpha > 0$  and base measure  $G_0$  on  $\mathbb{R}^p \times \mathbb{R}^+$ , denoted by  $G \sim DP(\alpha, G_0)$ . This Bayesian nonparametric model treats the conditional distribution  $F_{\mathbf{x}}$  as a function-valued parameter and allows its variance, skewness, modality, and other features to flexibly vary with the  $\mathbf{x}$  covariates. See Pati et al. (2013) for the sufficient conditions for posterior consistency. Note that there is no clear approach to incorporate simple spatial frailties into this model, as there is a countable number of linear predictors.

To incorporate the spatial correlation among the event times, we first define a residual process  $z(\mathbf{s}_i)$ , after adjusting for covariate effects, as

$$z(\mathbf{s}_i) = \Phi^{-1} \{F_{\mathbf{x}_i}(y_i|G)\}, i = 1, \dots, n. \quad (2)$$

Note that  $z(\mathbf{s}_i)$  follows the standard normal distribution providing a natural way of modeling spatial dependence. Specifically, we assume that  $\mathbf{z} = (z(\mathbf{s}_1), \dots, z(\mathbf{s}_n))'$  arises from a zero-mean Gaussian process (GP)  $\{z(\mathbf{s}) : \mathbf{s} \in \mathcal{D}\}$  with a valid correlation function  $C_\theta(\mathbf{s}, \mathbf{s}')$ ; that is,  $\mathbf{z}$  follows a multivariate Gaussian distribution as  $\mathbf{z} \sim N_n(\mathbf{0}, C_\theta)$ , where  $C_\theta = [C_\theta(\mathbf{s}_i, \mathbf{s}_j)]_{i,j=1}^n$  is the  $n \times n$  correlation matrix depending on a parameter vector  $\theta$ . To allow for a nugget effect, we consider  $C_\theta(\mathbf{s}_i, \mathbf{s}_j) = \theta_1 \rho(\mathbf{s}_i, \mathbf{s}_j) + (1 - \theta_1)I(\mathbf{s}_i = \mathbf{s}_j)$ , where  $\rho(\cdot, \cdot)$  is a valid correlation function, and  $\theta_1 \in [0, 1]$ , also known as a ‘‘partial sill’’ in Waller and Gotway (2004), is a scale parameter measuring a local maximum correlation. The simplest parameterization for the correlation function  $\rho(\cdot, \cdot)$  is an isotropic one, where the spatial correlation is assumed to be a function solely of the Euclidean distance  $d_{ij}$  between locations  $\mathbf{s}_i$  and  $\mathbf{s}_j$ . In this article, we consider the exponential correlation function  $\rho(\mathbf{s}, \mathbf{s}') = \exp\{-\theta_2 \|\mathbf{s} - \mathbf{s}'\|\}$ , where  $\theta_2$  controls the spatial decay over distance. Other choices such as the spherical, Gaussian, and Matérn correlation functions are also possible.

The above model specification is completely equivalent to Gaussian copula modeling (Song, 2000). In fact, we have modeled the joint distribution of  $\mathbf{y} = (y_1, \dots, y_n)$  as a function of its marginal cdf, that is,  $\mathbf{y} \sim \Phi_n(\Phi^{-1}\{F_{\mathbf{x}_1}(y_1|G)\}, \dots, \Phi^{-1}\{F_{\mathbf{x}_n}(y_n|G)\}; C_\theta)$ , where  $\Phi_n(\cdot; \Sigma)$  is the cdf of an  $n$ -dimensional normal with mean zero and covariance matrix  $\Sigma$ . Following Song (2000), the likelihood function based upon the complete data  $\{(y_i, \mathbf{x}_i, \mathbf{s}_i), i = 1, \dots, n\}$  is

$$\mathcal{L} = |C_\theta|^{-1/2} \exp\left\{-\frac{1}{2}\mathbf{z}'(C_\theta^{-1} - \mathbf{I}_n)\mathbf{z}\right\} \prod_{i=1}^n f_{\mathbf{x}_i}(y_i|G), \quad (3)$$

where  $\mathbf{I}_n$  is the  $n \times n$  identity matrix, and  $f_{\mathbf{x}_i}(y_i|G)$  is the density function corresponding to  $F_{\mathbf{x}_i}(y_i|G)$ .

## 2.2. Prior Specification and Hierarchical Modeling

For the DP prior  $G \sim DP(\alpha, G_0)$ , we define the base measure  $G_0$  through independent priors  $N_p(\boldsymbol{\beta}|\boldsymbol{\mu}, \boldsymbol{\Sigma})$  and  $\text{Ga}(\sigma^{-2}|v_a, v_b)$ . Here,  $N_p(\cdot|\boldsymbol{\mu}, \boldsymbol{\Sigma})$  and  $\text{Ga}(\cdot|a, b)$  denote a  $p$ -dimensional nor-

mal distribution with mean  $\boldsymbol{\mu}$  and covariance matrix  $\boldsymbol{\Sigma}$ , and a gamma distribution with shape  $a$  and rate  $b$ , respectively. Note that it is critical to select an appropriate prior for the concentration parameter  $\alpha$ , since its value controls the number of distinct components to which the data points are allocated. We assume a  $\text{Ga}(\alpha|a_0, b_0)$  prior for  $\alpha$ , which has been widely used by many researchers because of its tractability. As for the correlation parameters  $\theta = (\theta_1, \theta_2)$ , they are typically not consistently estimable for a wide range of correlation functions, as demonstrated by Zhang (2004). This implies that increasing sample size does not necessarily obliterate the priors’ impact, and thus weakly informative priors may be desirable to help identify the parameters  $\theta$ , say, a  $\text{Beta}(\theta_1|\theta_{1a}, \theta_{1b})$  for  $\theta_1$  and a  $\text{Ga}(\theta_2|\theta_{2a}, \theta_{2b})$  for  $\theta_2$  with hyperparameters  $\theta_0 = (\theta_{1a}, \theta_{1b}, \theta_{2a}, \theta_{2b})$  being carefully chosen. Finally, we specify conjugate hyperpriors on  $\boldsymbol{\mu}$  and  $\boldsymbol{\Sigma}^{-1}$  using a normal  $N_p(\boldsymbol{\mu}|\mathbf{m}_0, \mathbf{S}_0)$  and a Wishart  $W_p((\kappa_0 \boldsymbol{\Sigma}_0)^{-1}, \kappa_0)$ , respectively, where the Wishart has mean  $\boldsymbol{\Sigma}_0^{-1}$  and degrees of freedom  $\kappa_0$ .

Following de Carvalho et al. (2013), we suggest reasonable default hyperpriors as follows:  $a_0 = b_0 = 2$ ,  $v_a = 3$ ,  $v_b = \hat{\sigma}^2$ ,  $\theta_0 = \mathbf{1}$ ,  $\mathbf{m}_0 = \hat{\boldsymbol{\beta}}$ ,  $\mathbf{S}_0 = \hat{\boldsymbol{\Sigma}}$ ,  $\boldsymbol{\Sigma}_0 = 30\hat{\boldsymbol{\Sigma}}$ , and  $\kappa_0 = 7$ , where  $\hat{\boldsymbol{\beta}}$  and  $\hat{\sigma}^2$  are the maximum likelihood estimates of  $\boldsymbol{\beta}$  and  $\sigma^2$  from fitting the log-normal accelerated failure time model  $\log(t_i) = \mathbf{x}_i' \boldsymbol{\beta} + \sigma \epsilon_i$ ,  $\epsilon_i \sim N(0, 1)$ , and  $\hat{\boldsymbol{\Sigma}}$  is the asymptotic covariance estimate for  $\hat{\boldsymbol{\beta}}$ .

For ease of hierarchical modeling, we express the DP prior  $G$  in the stick-breaking form (Sethuraman, 1994) as

$$G = \sum_{k=1}^{\infty} w_k \delta_{(\boldsymbol{\beta}_k, \sigma_k^2)}, \quad w_k = V_k \prod_{j=k}^{\infty} (1 - V_j), \quad (4)$$

where  $\delta_a$  is a Dirac probability measure concentrated at  $a$ ,  $V_k \stackrel{iid}{\sim} \text{Beta}(1, \alpha)$ , and  $(\boldsymbol{\beta}_k, \sigma_k^2) \stackrel{iid}{\sim} G_0$  are mutually independent for  $k = 1, \dots, \infty$ . In practical implementations, either fixed (Ishwaran and James, 2001) or random stopping (Papaspiliopoulos and Roberts, 2008) approximation procedures of the infinite sum representation (4) can be considered. In this article, we use the truncation approximation, replacing  $G$  with  $G^N = \sum_{k=1}^N w_k \delta_{(\boldsymbol{\beta}_k, \sigma_k^2)}$ , with  $N$  being pre-specified, where  $w_k$ s result from a truncated version of the stick-breaking construction:  $w_1 = V_1$ ,  $w_k = V_k \prod_{j=1}^{k-1} (1 - V_j)$ ,  $k = 2, \dots, N$ ,  $V_N = 1$ . The truncation level  $N$  can be determined by considering the properties of the higher-order  $w_k$  values in the infinite sum representation (4), i.e.,  $U_N = \sum_{k=N+1}^{\infty} w_k$ . Ishwaran and Zarepour (2000) demonstrated that  $E(U_N|\alpha) = \alpha^N / (1 + \alpha)^N$  and  $\text{Var}(U_N|\alpha) = \alpha^N / (2 + \alpha)^N - \alpha^{2N} / (1 + \alpha)^{2N}$ . Then, for any given truncation level  $N$ , we can approximate these expressions by averaging over the gamma prior for  $\alpha$ . For example, setting  $N = 10$  and placing  $\text{Ga}(2, 2)$  on  $\alpha$  in our simulation study will result in  $E(U_N) \approx 0.0055$  and  $\text{Var}(U_N) \approx 0.0002$ , which is more than adequate for data analyses.

In order to determine which component the  $i$ th data point is allocated, we introduce configuration variables  $K_i$ . Then, the hierarchical model for the data, together with the augmented

latent true event times, can be written as follows:

$$\begin{aligned}
y_i | \mathbf{B}, \boldsymbol{\sigma}^2, \mathbf{K} &\sim N(\mathbf{x}'_i \boldsymbol{\beta}_{K_i}, \sigma_{K_i}^2) \\
z(\mathbf{s}_i) &= \Phi^{-1} \left\{ \sum_{k=1}^N w_k \Phi \left( \frac{y_i - \mathbf{x}'_i \boldsymbol{\beta}_k}{\sigma_k} \right) \right\} \\
(z(\mathbf{s}_1), \dots, z(\mathbf{s}_n))' | \boldsymbol{\theta} &\sim N_n(\mathbf{0}, C_\theta) \\
P(K_i = k | \mathbf{V}) &= w_k, \quad k = 1, \dots, N \\
(V_k | \alpha) &\stackrel{iid}{\sim} \text{Beta}(1, \alpha), \quad k = 1, \dots, N-1 \\
(\boldsymbol{\beta}_k, \sigma_k^{-2}) | \boldsymbol{\mu}, \boldsymbol{\Sigma} &\stackrel{iid}{\sim} N_p(\boldsymbol{\mu}, \boldsymbol{\Sigma}) \times \text{Ga}(v_a, v_b) \\
\alpha &\sim \Gamma(a_0, b_0) \\
(\theta_1, \theta_2) &\sim \text{Beta}(\theta_{1a}, \theta_{1b}) \times \text{Ga}(\theta_{2a}, \theta_{2b}) \\
(\boldsymbol{\mu}, \boldsymbol{\Sigma}^{-1}) &\sim N_p(\mathbf{m}_0, \mathbf{S}_0) \times W_p((\kappa_0 \boldsymbol{\Sigma}_0)^{-1}, \kappa_0)
\end{aligned} \tag{5}$$

where  $\mathbf{B} = (\boldsymbol{\beta}'_1, \dots, \boldsymbol{\beta}'_N)$ ,  $\boldsymbol{\sigma}^2 = (\sigma_1^2, \dots, \sigma_N^2)$ ,  $\mathbf{K} = (K_1, \dots, K_n)$ , and  $\mathbf{V} = (V_1, \dots, V_N)$ .

### 3. Posterior Inference

#### 3.1. MCMC Sampling

We develop an efficient MCMC algorithm for posterior sampling from the hierarchical model representation (5). The full conditionals are straightforward to derive, but most of them are not recognizable due to the incorporation of spatial dependence. A complete description and derivation of the updating steps are available in Web Appendix A. The posterior samples for the model parameters are used for all inferences of interest.

Let  $\boldsymbol{\Omega} = (\mathbf{K}, \mathbf{y}, \mathbf{B}, \boldsymbol{\sigma}^2, \mathbf{V}, \alpha, \boldsymbol{\theta})$  denote collectively the model parameters to be updated. Note that the likelihood function involves the inversion and determinant calculation of a very large global correlation matrix  $C_\theta$  and these matrix operations have to be repeated for every MCMC iteration. For large values of the sample size  $n$ , e.g.,  $n \geq 500$ , we suggest replacing  $C_\theta$  with  $C_\theta^\dagger$  based on the full-scale approximation (FSA) approach as described in Web Appendix B. Conditional on all other parameters,  $K_i$  is sampled from a multinomial distribution. For updating  $y_i$ ,  $\boldsymbol{\beta}_k$ ,  $\sigma_k^2$ , and  $V_k$ , we notice that the full conditional for each is proportional to a recognizable density multiplied by a common part  $\exp\{-\frac{1}{2} \mathbf{z}'(C_\theta^{-1} - \mathbf{I}_n) \mathbf{z}\}$ . Thus, we use Metropolis-Hastings (M-H) with independent proposals, where each proposal is based on the recognizable density. However, we observed for this initial MCMC scheme that often some  $\boldsymbol{\beta}_k$  got “stuck” for a long period, leading to poor MCMC mixing. As a remedy, we found that delayed rejection (Tierney and Mira, 1999) works very well. Upon a rejection in the M-H, instead of retaining the same position, a second-stage proposal corresponding to a random walk is proposed. The precision parameter  $\alpha$  and hyper-parameters  $(\boldsymbol{\mu}, \boldsymbol{\Sigma}^{-1})$  are updated from their conjugate full conditionals. Finally, to update the correlation parameters  $\boldsymbol{\theta}$ , we first take transformations  $\boldsymbol{\vartheta} = (\vartheta_1, \vartheta_2)'$  with  $\vartheta_1 = \log\left(\frac{\theta_1}{1-\theta_1}\right)$  and  $\vartheta_2 = \log(\theta_2)$ , and then update  $\boldsymbol{\vartheta}$  using adaptive Metropolis-Hastings algorithms (Haario et al., 2001).

Given a set of posterior samples  $\{\boldsymbol{\Omega}^{(l)}, l = 1, \dots, L\}$ , the marginal conditional density of log event time  $y$  given the covariates  $\mathbf{x}$  is estimated by

$$\hat{f}_{\mathbf{x}}(y) = \frac{1}{L} \sum_{l=1}^L \sum_{k=1}^N w_k^{(l)} \frac{1}{\sigma_k^{(l)}} \phi\left(\frac{y - \mathbf{x}' \boldsymbol{\beta}_k^{(l)}}{\sigma_k^{(l)}}\right), \tag{6}$$

where  $\phi(\cdot)$  is the density of the standard normal. The marginal conditional survival and hazard functions can be estimated similarly. Then, all the marginal density, survival, and hazard functions of an event time  $t = \exp\{y\}$  given  $\mathbf{x}$  can be easily obtained. An R package `spBayesSurv` accompanying this article is provided to implement the MCMC algorithm and plot the estimated curves; see Web Appendix G for sample R code.

#### 3.2. Spatial Prediction

In geostatistics, one major interest is predicting the survival  $t_0$  at a new location  $\mathbf{s}_0$  with associated covariate values  $\mathbf{x}_0$ . Given the parameters  $\boldsymbol{\Omega}$ , by noting that  $\mathbf{z} \sim N_n(\mathbf{0}, C_\theta)$ , we can easily obtain that  $z(\mathbf{s}_0) \sim N(\mu(\mathbf{s}_0), \tau^2(\mathbf{s}_0))$  with  $\mu(\mathbf{s}_0) = \mathbf{h}(\mathbf{s}_0)' C_\theta^{-1} \mathbf{z}$  and  $\tau^2(\mathbf{s}_0) = 1 - \mathbf{h}(\mathbf{s}_0)' C_\theta^{-1} \mathbf{h}(\mathbf{s}_0)$ , where  $\mathbf{h}(\mathbf{s}_0) = [\theta_1 \rho(\mathbf{s}_0, \mathbf{s}_i)]_{i=1}^n$  is an  $n \times 1$  vector. Note that both  $C_\theta$  and  $\rho$  would be replaced by  $C_\theta^\dagger$  and  $\rho^\dagger$ , respectively if the FSA is used. Based on the definition of  $z(\cdot)$  in Equation (2) and the  $N$ -level truncation of  $G$ , we have

$$z(\mathbf{s}_0) = \Phi^{-1} \left\{ \sum_{k=1}^N w_k \Phi \left( \frac{\log t_0 - \mathbf{x}'_0 \boldsymbol{\beta}_k}{\sigma_k} \right) \right\}. \tag{7}$$

It follows that the predictive density of  $t_0$  is given by

$$\begin{aligned}
f(t | \boldsymbol{\Omega}, \mathbf{x}_0, \mathbf{s}_0) &= \frac{1}{t \cdot \tau(\mathbf{s}_0)} \phi\left(\frac{z(\mathbf{s}_0) - \mu(\mathbf{s}_0)}{\tau(\mathbf{s}_0)}\right) \\
&\quad \times \frac{\sum_{k=1}^N w_k \frac{1}{\sigma_k} \phi\left(\frac{\log t - \mathbf{x}'_0 \boldsymbol{\beta}_k}{\sigma_k}\right)}{\phi(z(\mathbf{s}_0))}.
\end{aligned} \tag{8}$$

Given a set of posterior samples  $\{\boldsymbol{\Omega}^{(l)}, l = 1, \dots, L\}$  obtained from Section 3.1, we first draw  $z^{(l)}(\mathbf{s}_0)$  from  $N(\mu(\mathbf{s}_0), \tau^2(\mathbf{s}_0))$  for  $l = 1, \dots, L$ , and then make the transformation according to (7) to obtain a sample of predictive event times  $\{t^{(l)}(\mathbf{s}_0), l = 1, \dots, L\}$  at the new location  $\mathbf{s}_0$ . The final predicted value of  $t_0$  can be either the mean or median of  $t^{(l)}(\mathbf{s}_0)$ s. In practice, it is difficult to observe all the covariates at the whole study region  $\mathcal{D}$ , so it is not practically feasible to create a map for predictive event times. Alternatively, we may show a spatial map for the residual process, which can be interpreted in a manner similar to the spatial frailties in conditional survival models; that is, the higher the  $z(\mathbf{s})$  is, the larger the event time  $y(\mathbf{s})$  would be on average. Note that the predictive density of  $t(\mathbf{s}_0)$  is simply (8) averaged over the MCMC iterates. It is worth highlighting here that (8) is different from the truncated version of the marginal density in (1). This is due to the fact that the event times are spatially correlated so that the prediction at a new location will borrow information from locations where the data have been collected. On the other hand, if we assume there is no spatial correlation, i.e.,  $\theta_1 = 0$ ,

**Table 1**

Simulated data—Scenario I. True value, bias of the point estimator (posterior mean), mean (across Monte Carlo replicates) of the posterior standard deviations (MEAN-SD), standard deviation (across Monte Carlo replicates) of the point estimator (SD-MEAN), and Monte Carlo coverage probability for the 95% credible interval (CP) for the spatial correlation parameters  $\theta$ . The averaged computing time is also presented.

Model	Parameters	True	BIAS	MEAN-SD	SD-MEAN	CP
LDDPM-spatial	$\theta_1$	0.98	-0.026	0.028	0.028	0.91
(32 minutes)	$\theta_2$	0.10	0.017	0.026	0.025	0.95
LDDPM-spatial-FSA	$\theta_1$	0.98	-0.017	0.025	0.024	0.96
(24 minutes)	$\theta_2$	0.10	0.016	0.025	0.023	0.93
PH-spatial	$\theta_1$	0.98	-0.065	0.037	0.047	0.61
(24 minutes)	$\theta_2$	0.10	-0.009	0.023	0.030	0.78

it is easy to see that the predictive density in (8) reduces to the  $N$ -level truncation version of (1).

### 3.3. Model Comparison

To compare the predictive ability of competing models, we consider the conditional predictive ordinate (CPO) statistic as suggested by Geisser and Eddy (1979). Let  $\mathcal{D}_{-i}$  denote the observed data excluding the  $i$ th data point. For a given model, the CPO statistic for the  $i$ th observation is defined as  $\text{CPO}_i = f(t_i^o | \mathcal{D}_{-i})^{\delta_i} S(t_i^o | \mathcal{D}_{-i})^{1-\delta_i}$ , where  $f$  and  $S$  denote the marginal posterior predictive density and survival functions of  $t_i^o$  given  $\mathcal{D}_{-i}$ , respectively. A higher value of  $\text{CPO}_i$  under one model implies a better fit of that model to the  $i$ th observation. Let  $\mathbf{t} = (t_1, \dots, t_n)$  be the vector of latent true survival times and  $\mathbf{t}_{-i}$  be the corresponding vector with the  $i$ th element removed. According to the hierarchical model in (5), given all the model parameters  $\Theta = (\mathbf{B}, \sigma^2, \mathbf{V}, \theta)$ , we show that the CPO of Gelfand and Dey (1994) is generalized to

$$\text{CPO}_i = \left( E_{(\mathbf{t}, \Theta | \mathcal{D})} \left[ \frac{1}{f(t_i^o | \mathbf{t}_{-i}, \Theta)^{\delta_i} S(t_i^o | \mathbf{t}_{-i}, \Theta)^{1-\delta_i}} \right] \right)^{-1}. \quad (9)$$

See Web Appendix C for its derivation and the expressions of  $f(t_i^o | \mathbf{t}_{-i}, \Theta)$  and  $S(t_i^o | \mathbf{t}_{-i}, \Theta)$ . To give an aggregate summary measure of a model's predictive ability, we define the log pseudo marginal likelihood (LPML) as  $\text{LPML} = \sum_{i=1}^n \log(\text{CPO}_i)$ . The LPML is a cross-validated predictive measure: the larger a model's LPML is, the better predictive ability the model has. From (9), one can easily compute LPML from the MCMC output.

## 4. Simulations

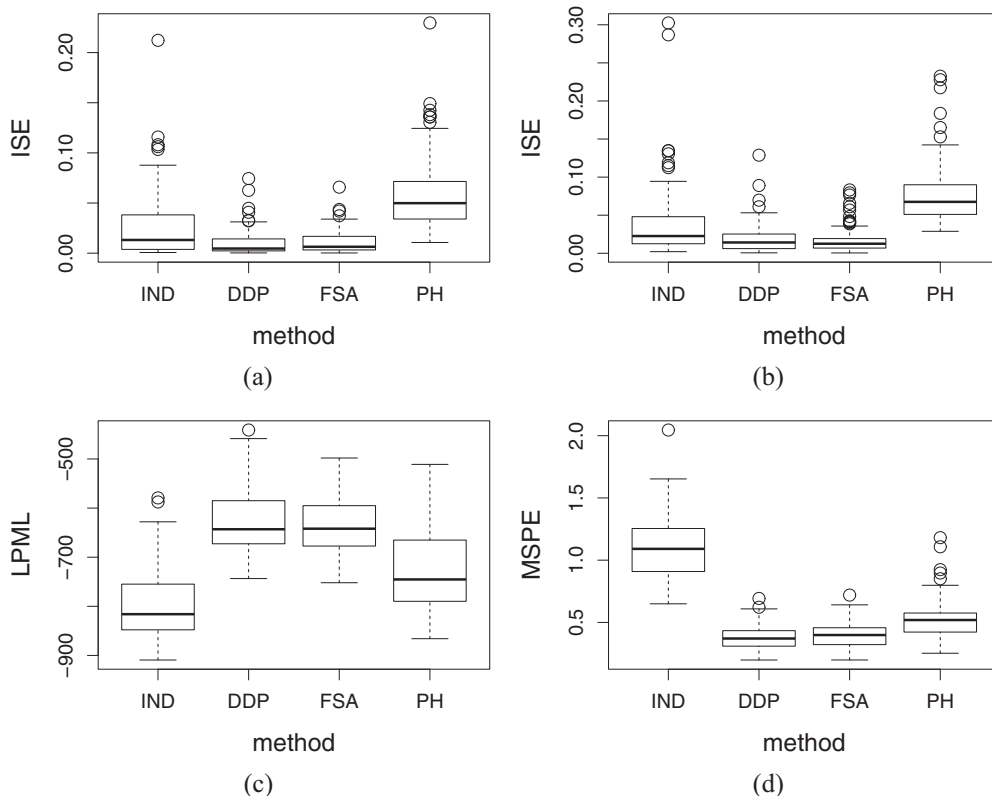
We conduct a simulation study to illustrate the proposed model (LDDPM-spatial) and assess its performance. We also compare it with the Bayesian version of Li and Lin (2006) (PH-spatial, see Web Appendix D) and the model by De Iorio et al. (2009) (LDDPM-ind). All analyses can be run in R using the package `spBayesSurv`.

We randomly select 400 locations over a spatial region  $[0, 40] \times [0, 100]$  (mirroring from the frog data) and hold out 100 of them for assessing the predictive performance, yielding a total sample of  $n = 300$  subjects for estimation. The

log event times  $y(\mathbf{s}) = \log t(\mathbf{s})$  at these 400 locations are simulated from a mixture model  $f(y|x) = 0.4N(3.5 + 0.5x, 1^2) + 0.6N(2.5 - x, 0.5^2)$  with the spatial dependence described in Section 2.1, where  $x$  is generated independently from a uniform distribution over  $(-1.5, 1.5)$  and  $C_\theta$  is specified with  $\theta_1 = 0.98$  and  $\theta_2 = 0.1$ . The choice of this mixture model is based on a modification of the simulation study in De Iorio et al. (2009). The log censoring times are simulated from a uniform distribution on  $(3, 4)$  so that the censoring rate is about 20~50%. This simulation study is referred to as Scenario I, for which 100 Monte Carlo replicate datasets are generated.

First, we fit the LDDPM-spatial model using truncation level  $N = 10$  under the default prior specifications. We also fit the LDDPM-spatial model with  $C_\theta$  approximated using the FSA approach (denoted as LDDPM-spatial-FSA), where we experiment with  $m = 10$  regularly spaced knots and  $B = 10$  blocks taken as equally sized squares. Second, we fit the PH-spatial model with default priors. Finally, we fit the LDDPM-ind model using the same priors as the LDDPM-spatial model. For each MCMC, we retain 10,000 scans thinned from 50,000 after a burn-in period of 10,000 iterations. To assess the prediction ability and accuracy, for each above model, we calculate the LPML and mean squared prediction error (MSPE), where  $\text{MSPE} = \sum_{i=1}^{100} (y_i - \tilde{y}_i)^2 / 100$  with  $y_i$ s being the held-out true log survival times and  $\tilde{y}_i$ s being the corresponding predicted values based on posterior means. The models are also compared by computing the integrated squared error (ISE) for estimated survival curves, given by  $\text{ISE} = \int_0^\infty \{ \hat{S}(y|x) - S(y|x) \}^2 dy$ , where  $\hat{S}(y|x)$  and  $S(y|x)$  are estimated and true survival functions given  $x$ , respectively.

The posterior inferences for spatial correlation parameters  $\theta = (\theta_1, \theta_2)$  under each approach are presented in Table 1, where the bias of corresponding point estimates (i.e., posterior means), the Monte Carlo mean of posterior standard deviation estimates (MEAN-SD), the Monte Carlo standard deviation of point estimates (SD-MEAN), and the Monte Carlo coverage probability of 95% credible intervals (CP) are reported. The results suggest that the point estimates of  $\theta$  are almost unbiased, and that the observed biases under the LDDPM-spatial model are much smaller than the corresponding values under the PH-spatial model. The MEAN-SD and SD-MEAN values are fairly close indicating that the posterior standard deviation is an appropriate estimator of the fre-



**Figure 1.** Simulated data—Scenario I. Panel (a) and (b): boxplots of ISEs for fitted survival curves when  $x = -1$  and  $x = 1$ , respectively. Panel (c): boxplots of LPMLs. Panel (d): boxplots of MSPE. In each panel, the four models from left to right are LDDPM-ind, LDDPM-spatial, LDDPM-spatial-FSA, and PH-spatial, respectively.

quantist standard error. The CPs are around the nominal 95% level. In contrast, the PH-spatial model provides substantially lower coverage probabilities. Furthermore, the posterior estimates with FSA are very close to those using the exact model, suggesting that FSA is a good approximation of the correlation matrix  $C_\theta$ . Table 1 also presents the Monte Carlo mean of computing times under each approach, where we see that FSA does speed up the computation as expected.

Figure 1 shows boxplots of the ISEs for estimated survival curves, LPMLs, and MSPEs under the considered models. The LDDPM-spatial models (with and without FSA) provide much smaller biases of the fitted survival functions on average, compared with LDDPM-ind and PH-spatial, indicating that either violation of the PH assumption or ignorance of the spatial dependence could bias the inference. As for prediction ability and accuracy, the proposed models (with and without FSA) yield the best prediction performance as measured by both LPML and MSPE, compared with the PH-spatial and LDDPM-ind models. These simulations, i.e., when the truth is known, show that the LPML is consistent with the MSPE and hence validates its use for complex spatial models exhibiting dependence.

In Web Appendix E, we also tested the performance of LDDPM-spatial model when the PH assumption is satisfied and compared it with the PH-spatial model. The results show that two models provide almost the same boxplots of LPMLs

and MSPEs, indicating that the LDDPM-spatial model is quite competitive even when the PH assumption is satisfied.

## 5. Application to Frog Data

From 1997 to 2002, all mapped lentic water bodies in Sequoia and Kings Canyon National Parks were surveyed for mountain yellow-legged frogs. Starting in 2002 and continuing through 2011, nearly all the frog populations that were discovered during the initial surveys were visited every 1–2 years. A primary objective of these resurveys was to determine the Bd status of each frog population over time. Bd attacks the keratinized tissues of tadpole mouthparts and disrupts the normal black pigmentation of these structures. Therefore, early efforts to detect Bd in live amphibians often relied on visual inspections of tadpole mouthparts (see Table 4 in Knapp and Morgan, 2006). This method was replaced by a real-time quantitative PCR assay (qPCR) in the mid-2000s (Boyle et al., 2004) and remains the most reliable method for detecting Bd. In our data set, Bd status was determined using the inspections of tadpole mouthparts during resurveys conducted from 2002 to 2004 and using the qPCR after 2004. The data consist of  $n = 309$  frog populations that were initially discovered during park-wide surveys conducted from 1997 to 2002, and then resurveyed regularly through 2011. The observed event time is calculated as the number of years from the initial survey to either Bd arrival or the last resurvey. By the end of the study,

**Table 2**  
Frog data. Summary for event times, censoring status, bdwater, and bddist.

Time to Bd (yrs)	Bd status	bdwater	bddist (km)
5 (median)	33 (censored)	57 (bdwater = 1)	1.811 (median)
1-11 (range)	276 (event)	252 (bdwater = 0)	0.092-9.189 (range)

about 11% of the frog populations remained Bd-negative (censored). Table 2 presents a summary of the data.

We fit the LDDPM-spatial and PH-spatial models to the data using the same prior specifications as previous simulations. We also fit the LDDPM-ind model, the standard PH model  $\lambda_i(t) = \lambda_0(t) \exp\{\mathbf{x}_i^T \boldsymbol{\beta}\}$  as well as the PH model with a point-referenced frailty term  $\lambda_i(t) = \lambda_0(t) \exp\{\mathbf{x}_i^T \boldsymbol{\beta} + w(\mathbf{s}_i)\}$  (PH-frailty), where  $w(\mathbf{s})$  follows a Gaussian process (GP) with the exponential covariance function, and the baseline hazard  $\lambda_0(t)$  is modeled in the same way as the PH-spatial model. Based upon examination of trace plots for model parameters in both the simulations and real data analyses, for each MCMC run we retain 20,000 scans thinned from 200,000 after a burn-in period of 200,000 iterations. These are grossly conservative numbers; burnin and thinning requirements may change considerably depending on the amount of spatial correlation and data. The Markov chains mix reasonably well for all fitted models. For the LDDPM models, the number of components with non-negligible mass ranged from two to five, indicating at most five components in the mixture. In Web Appendix F, we present the posterior trace plots for  $\boldsymbol{\theta}$  under the LDDPM-spatial model.

We first obtain the LPML values for all models under consideration as follows:  $-276.7$  for LDDPM-spatial,  $-304.4$  for PH-spatial,  $-631.5$  for LDDPM-ind,  $-705.3$  for PH, and  $-703.4$  for PH-frailty. The LDDPM-spatial model provides significantly better prediction as measured by LPML, with differences ranging from 27 to 428. Interestingly, the PH model augmented with a GP frailty surface hardly improves inference over the standard PH model. In what follows, we only focus on interpreting results from the proposed model. Table 3 shows posterior estimates of the spatial dependence parameters  $\theta_1$  and  $\theta_2$ . The partial sill parameter  $\theta_1$  measures the maximum correlation between frog populations if they were located in the same location. Our analysis shows that such a correlation is very strong, almost equal to 1. The parameter  $\theta_2$  controls the decay of spatial dependence over distance measured by kilometers. For instance, the posterior mean of  $\theta_2 = 0.133$  indicates that the correlation decays by  $1 - e^{-0.133 \times 5} \approx 48.6\%$  for every 5-km increase in distance. This tells us that the spatial dependence still does not disappear even when the two frog populations are located 5-km apart.

Figure 2(b) shows, for example, for frogs living in a watershed that was Bd-positive during the previous year, the population-averaged median Bd arrival time is cut from around 9.8 to 4.5 years, more than half, when the distance to nearest Bd-positive location goes from 7.731-km to 0.443-km. Interestingly, the *shapes* of densities also change, going from unimodal to bimodal in Figures 2(a) and 2(d). This figure clearly shows the invalidation of the standard AFT model for these data. Similarly, Figure 2(c) shows that the non-proportional change in hazards clearly invalidates the PH assumption. Comparing Bd-positive to Bd-negative in the watershed yields strikingly different outcomes. Populations in basins in which Bd is present, holding the distance to the nearest Bd-positive location constant, have a hazard spike near zero reaching up to around 0.7 (Figure 2(f)). This implies that the population-averaged probability of Bd arrival within 1 year is about 70%. The corresponding survival curves cross (Figure 2(e)), invalidating most common semiparametric models. These results are for any frog population randomly found in the study region. The simulations in Section 4 show that if the accompanying spatial information  $\mathbf{s}_0$  is also used, prediction will be accurately refined.

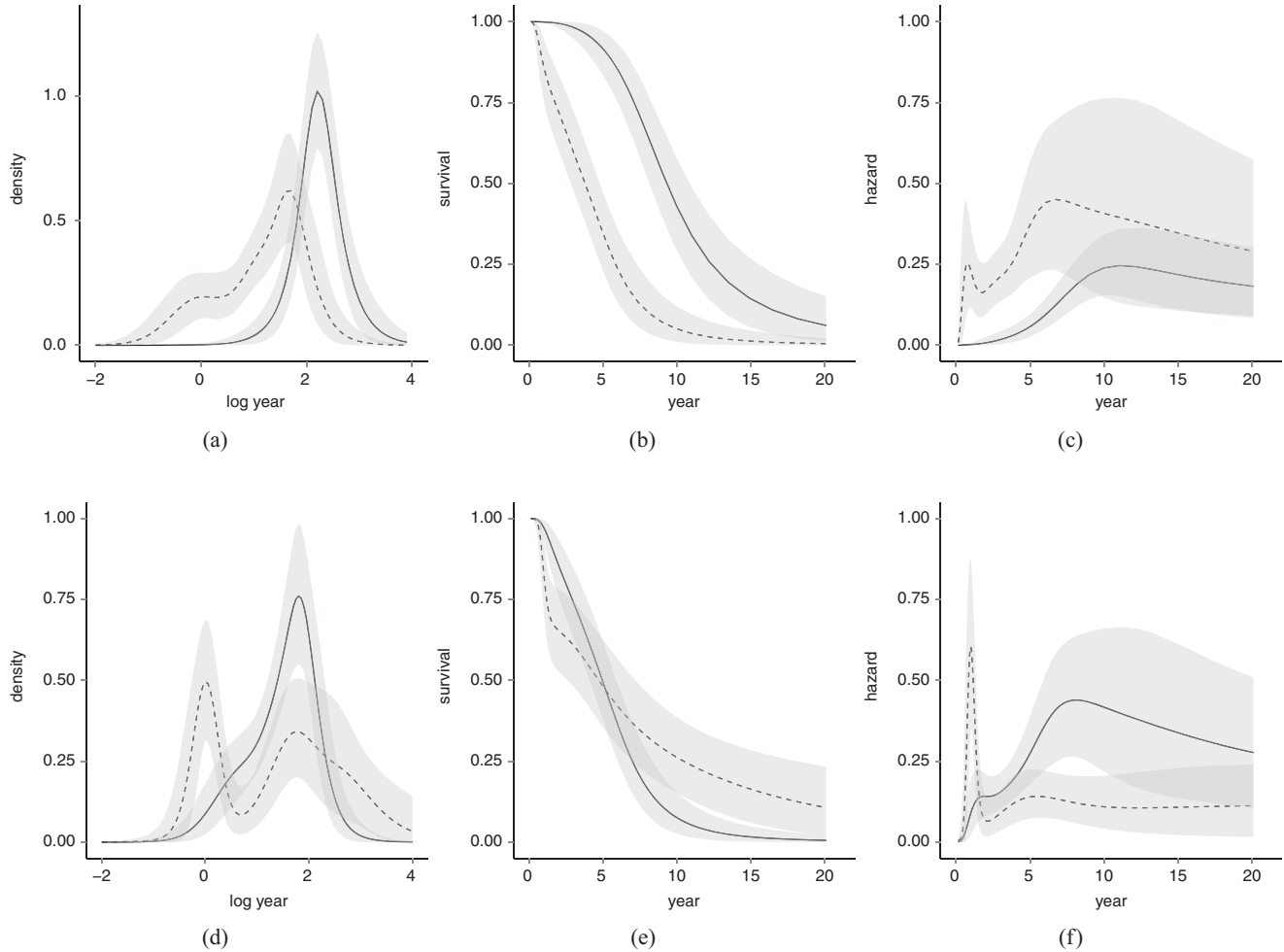
We are also interested in predicting which areas have overall lower survival rates. Since the marginal distribution at each location cannot be predicted unless the associated baseline covariates are available, we instead show a spatial map (Figure 3) by smoothing the predicted residual process  $z(\mathbf{s})$  at 10,000 randomly simulated new locations over the national park. One may interpret this map in a manner similar to the frailty map in the GP frailty PH model in the absence of covariate information; lower value of  $z(\mathbf{s})$  indicates lower survival rate at location  $\mathbf{s}$  on average. Overall, the frog populations living in darker regions became infected by Bd more earlier than lighter areas.

## 6. Concluding Remarks

This article presents a unified approach to the nonparametric modeling of point-referenced survival data. The methodology is broadly illustrated on an analysis of Bd arrival time of yellow-legged frog populations throughout Sequoia-Kings Canyon National Park. The frog data present a unique challenge and opportunity, as most common semiparametric sur-

**Table 3**  
Frog data. Posterior statistics for  $\theta_1$  and  $\theta_2$  under the LDDPM-spatial model assuming the exponential correlation function. The computing time is also presented.

Model	Parameters	Mean	Median	Std. dev.	95% CI
LDDPM-spatial (3.2 hours)	$\theta_1$	0.991	0.992	0.004	(0.982, 0.998)
	$\theta_2$	0.133	0.130	0.040	(0.060, 0.216)



**Figure 2.** Frog data. Fitted marginal densities (panels (a) and (d)), survival curves (panels (b) and (e)), and hazard curves (panels (c) and (f)) with 90% point-wise credible intervals for high versus low value of  $\text{bdwater}$  when  $\text{bddist}$  is equal to 0 (panels (a), (b), and (c)) and for  $\text{bdwater}=0$  versus  $\text{bdwater}=1$  when  $\text{bddist}$  is equal to its population mean of 2.717 km (panels (d), (e), and (f)). In panels (a), (b), and (c), the results for  $\text{bddist}=95\%$  and  $\text{bddist}=5\%$  quantiles are displayed as solid and dashed lines, respectively. In panels (d), (e), and (f), the results for  $\text{bdwater}=0$  and  $\text{bdwater}=1$  are displayed as solid and dashed lines, respectively.

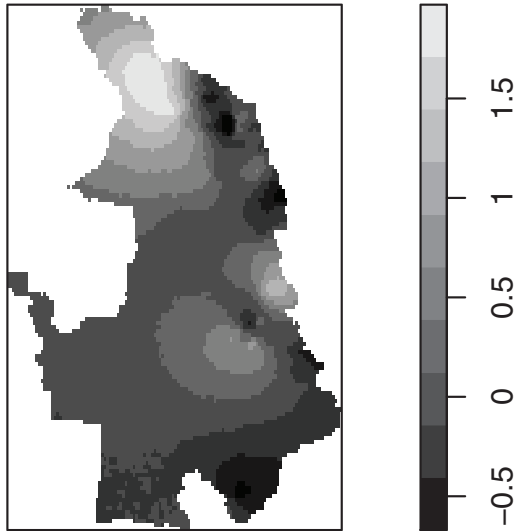
vival models are grossly violated and there is a strong spatial component to the data. In the analysis, we considered two important measures of Bd proximity:  $\text{bdwater}$  and  $\text{bddist}$ ; additional covariates could be easily incorporated into the vector  $\mathbf{x}$  in our model (De Iorio et al., 2009; Jara et al., 2010). As expected, the closer a frog population is to a Bd-positive location, the less time that population has until Bd infects them. This analysis shows how modern, cutting edge statistical techniques can be used to understand a real ecological problem, here predicting the arrival time by Bd in Bd-negative frog populations.

One surprising result is just how poorly the PH model can do in terms of prediction when it is wrong, even when a traditional GP spatial frailty surface is added to the linear predictor. Zhao et al. (2009) noted that in a conditional spatial survival setting, the survival model itself is the most important factor affecting prediction, in their case choosing among PO, PH, or AFT model. The survival model itself

is more important than whether frailties are included, or whether the frailties are spatially varying or exchangeable. This observation also holds for the frog data: the assumptions that most semiparametric models imply are not met. In particular, the traditional PH model is inadequate relative to a more flexible nonparametric model. Once an appropriate nonparametric model has been chosen, the copula model can drastically improve prediction. It may be that, for many data sets, the marginal copula-based geostatistical modeling approach provides superior prediction over more traditional GP random-effects models, e.g., the types that can be fit in SAS `glimmix` and `mixed` procedures, the free `BayesX` program (Brezger et al., 2005). For this reason, we have provided an efficient R package `spBayesSurv` for others to explore the use of these geostatistical copula models.

One drawback of the proposed model is that covariate effects are interpreted by examining the plots, which becomes challenging for high-dimensional covariates. Due to





**Figure 3.** Frog data. Spatial map for the predicted residual process  $z(\mathbf{s})$  at 10,000 randomly simulated new locations. Higher value implies better survival overall.

the inverse of high-dimensional correlation matrices during MCMC, the LDDPM model can also suffer longer computational times. In addition, the proposed model cannot handle time-dependent covariates. The traditional PH model can handle time-dependent covariates, and can have time-varying regression effects, which are not considered here nor were considered by Li and Lin (2006). However, once the PH model is augmented with time-varying effects, inference is also reduced to the examination of plots (e.g., survival curves and densities) and one might as well start with a purely nonparametric model. Future research will examine the fit of so-called additive spatial PH models, i.e., with time-varying regression effects.

An alternative way to incorporate spatial dependence into model (1) is to assume a multivariate latent stick-breaking process prior (Rodríguez et al., 2010) for the random mixing measure  $G$ . This approach uses a copula representation only on the random mixing measure  $G$  but requires constraints on the atoms in the mixing measure. The model is broadly developed for the univariate case, but multivariate cases are problematic in that the order constraint becomes complex even for two dimensions. The dimensionality of  $(\beta_k, \sigma_k^2)$  in our approach is four, but likely to be much higher in general. By taking the full LDDPM model as the marginal in the copula representation, analysis is greatly simplified.

## 7. Supplementary Material

Web Appendices referenced in Sections 1, 3, 4, and 5, and sample R code fitting the proposed model are available with this paper at the *Biometrics* website on Wiley Online Library.

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